

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6/A  
12-18-01  
100

In re Application of: :  
: :  
Tsuyoshi Harakawa et al. :  
: :  
Serial No.: To be assigned : Art Unit: To be assigned  
: :  
Filed: Herewith : Examiner: To be assigned  
: :  
For: WATER-BORNE COATING : Atty Docket: 21581/0279  
COMPOSITION AND :  
METHOD OF FORMING :  
MULTILAYER COATING :  
FILM :

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the above-captioned case as follows.

IN THE CLAIMS

Please amend the claims as follows.

3. (Amended) The water-borne coating composition according to Claim 1,

wherein, in the general formula (1) or (2),  $R^2$  and  $R^5$  may be the same or different and each is an alkylene group containing 2 to 4 carbon atoms or a phenylethylene group.

4. (Amended) The water-borne coating composition according to Claim 1,

wherein, in said general formula (1) or (2),  $R^3$  is a branched or secondary alkyl group containing 8 to 36 carbon atoms.